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Sheet 1 of 3

B/O Form PTO-1449

Atty. Docket Number

Serial Number

SAWA3004/JDB

09/774,188

U.S. Department of Commerce
Patent and Trademark Office

Applicant

Gunther Sawatzki

Information Disclosure Statement by
Applicant

Filing Date

Oct. 31, 2003

Group

1615

Foreign Patent Documents

Examiner Initial	Document Number	Publication Date	Country/Agency	Class	Subclass	Translation	
						Yes	No
CE	EP 0307 523 B1 ✓	24/Mar/93	EPO				
	WO 96/13271 ✓	09/May/96	WIPO				
	WO 95/26646 ✓	12/Oct/95	WIPO				
CE	EP 0 692 252 A1 ✓	17/Jan/96	EPO				

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Other Documents (Including Author, Title, Date, Pertinent Pages, Place of Publication, Etc.)

CE	✓	S. S. Cho et al., "Complex Carbohydrates in Foods", Marcel Dekker Inc., N.Y., 1999
	✓	J. H. Cummings, "Gastrointestinal Effects of Food Carbohydrate ¹⁻³ ", <i>Am J Clin Nutr</i> , April 1995; Suppl. 4 61 (suppl): pp. 938-945
	✓	T. Kohmoto et al., "Effect of Isomalto-oligosaccharides on Human Fecal Flora", <i>Bifidobacteria Microflora</i> , Vol. 7(2), pp. 61-69, 1988.
	✓	Masaki Ito et al., "Influence of Galactooligosaccharides on the Human Fecal Microflora", <i>J. Nutr. Sci. Vitaminol.</i> , 39, 635-640, 1993.
	✓	Masaki Ito et al., "Effects of Transgalactosylated Disaccharides on the Human Intestinal Microflora and Their Metabolism", <i>J. Nutr. Sci. Vitaminol.</i> , 39, 279-288, 1993.
	✓	M. Roberfroid, "Dietary Fiber, Inulin, and Oligofructose: a review comparing their physiological effects", <i>Critical Reviews in Food Science and Nutrition</i> , 33(2): 103-148, 1993.
	✓	G. R. Gibson et al., "Dietary Modulation of the Human Colonic Microbiota: Introducing the Concept of Prebiotics", <i>American Institute of Nutrition</i> , 0022-3166/1995, pp. 1401-1412.
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	✓	RAFTILOSE®P95 Product Sheet Release: 05/95
	✓	RAFTILINE®ST Product Sheet Release: 05/95
CE	✓	RAFTILINE®HP Product Sheet Release: 05/95

Examiner

C. Evans

Date Considered

12/2003

EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Foreign Patent Documents

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							Yes	No
<i>ORF</i>	✓	EP 0 756 828 A1	04/Aug/96	EPO				
	✓	WO 97/34615	25/Sept/97	WIPO				
	✓	EP 0 958 825 A1	24/Nov/99	EPO				
	✓	EP 0 511 761 A1	04/Nov/92	EPO				
<i>CLG</i>	✓	EP 0 705 539 A2	10/Apr/96	EPO				

Other Documents (Including Author, Title, Date, Pertinent Pages, Place of Publication, Etc.)

<i>CLG</i>	✓	ORAFTI-Leaflet RAFTILOSE®
	✓	ORAFTI-Leaflet RAFTILINE®
	✓	ORAFTI-Leaflet RAFTILOSE RAFTIMIX
	✓	RAFTIMIX®10 Product Sheet Release: 05/95
	✓	Listing of sales of RAFTIMIX®10 to clients i.a. in period 13/9/96 to 19/5/98
	✓	Manufacture protocol with composition of RAFTIMIX®10 1995
	✓	Analysis of RAFTIMIX®10; RAFTIMIX®ST and RAFTILOSE®P95
	✓	A. Detry, Dissertation 1992, Institut Paul Lambin "Implications technologiques et nutritionnelles ..."
	✓	R. Hartemink "Non-digestible oligosaccharides: healthy food for the colon?" <i>Wageningen, The Netherlands</i> , 1997, p. 130-131.
	✓	Think RAFTILINE®, RAFTILOSE®, Inuline and Oligofructose
	✓	Innovate with RAFTILINE®(ORAFTI leaflet (Doc. 3b, E2)
	✓	E. Meleggi et al., XXI International Dairy Congress; brief communications "New baby food milk products"
	✓	FIBRULINE®Instant
	✓	R. Tanaka et al., "Effects of Administration of TOS and Bifidobacterium breve 4006 on the Human fecal flora", <i>Bifidobacteria Microflora</i> , Vol. 2(1), 17-24, 1983.
<i>CLG</i>	✓	S. Salimen et al., "Functional food science and gastrointestinal physiology and function", <i>British Journal of Nutrition</i> , 1998, 80, Suppl. 1, S147-S171.

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U.S. Patent Documents

Examiner Initial		Document Number	Date	Patentee/Applicant	Class	Subclass	Filing Date if Appropriate
CLG	✓	5,733,579	31/Mar/98	Wolf et al.	424	606	

Foreign Patent Documents

Examiner Initial		Document Number	Publication Date	Country/Agency	Class	Subclass	Translation	
							Yes	No
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CLG	✓	WO 97/02829	30/Jan/97	WIPO				

Other Documents (Including Author, Title, Date, Pertinent Pages, Place of Publication, Etc.)

CLG	✓	G. Gibson et al., "Dietary Modulation of the Human Colonic Microbiota: Introducing the Concept of Prebiotics", <i>American Institute of Nutrition</i> , pp. 1401-1412, 1995
1	✓	Elix'or Galacto-oligosaccharides for Innovative Foods
	✓	Elix'or Galacto-oligosaccharides: A natural ingredient for functional foods, <i>Borculo Whey Products</i> .
	✓	Y. Bouhnik et al., "Administration of Transgalacto-Oligosaccharides Increases Fecal Bifidobacteria and Modifies Colonic Fermentation Metabolism in Healthy Humans", <i>American Society for Nutritional Sciences</i> , pp. 444-448, 1997.
	✓	M. Ito et al., "Effects of Administration of Galactooligosaccharides on the Human Faecal Microflora, Stool Weight and Abdominal Sensation", <i>Microbial ecology in health and disease</i> , vol. 3, pp. 285-292, 1990
	✓	R. G. Crittenden et al., "Production, properties and applications of food-grade oligosaccharides", <i>Trends in Food Science & Technologies</i> , Vol. 7, Nov. 1996, pp. 353-361.
CLG	✓	G. R. Gibson et al., "Selective stimulation of bifidobacteria in the Human Colon by Oligofructose and Inulin", <i>Gastroenterology</i> 1995, 108, pp. 975-982.

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